Docket No. OSVRP152USA

(c) \_\_\_\_



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of James L. Fergason Applicant: Serial No.: 10/796,259 March 9, 2004 Filed: For: APPARATUS AND METHOD FOR PREPARING, STORING, TRANSMITTING AND DISPLAYING **IMAGES** Art Unit: 2851 Unknown Examiner: INFORMATION DISCLOSURE STATEMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed, except for (a) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120, and (b) any U.S. patent or U.S. patent application publication if the present application was filed after June 30, 2003 or entered the national stage under 35 USC § 371 after June 30, 2003: Serial No.: Filing Date: Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s): (a) \_\_\_\_ A copy of each English language version of a search report (or EPO Search Report) indicating the degree of relevance found by the foreign office of each document being submitted from the search report, is being submitted herewith or has previously been submitted. (b) \_\_\_\_ Attached is a "Concise Explanation of Relevance of Non-English Language Documents". 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked): Within 3 months of the filing date or date of entry into the National Stage. (a) \_\_\_ (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.

Before the mailing date of a first Office Action on the merits after a first or second

submission after final rejection under 37 C.F.R. 1.129(a).

	(d)	After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
	(1)	The required certification is given below, or
	(2)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
	(3)	Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988
	(e)	After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
	(1)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or
	(2)	Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.
4.	Certification (i	if applicable)
	(a)	The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
	(b)	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
5. Deposit A		sioner is hereby authorized to charge any additional fees or credit any overpayment to -0988, Order No. OSVRP152USA.
		Respectfully submitted,
		RENNER), OTTO, BOISSELLE & SKLAR, LLP
		By Warren A. Sklar
	clid Avenue, 19 d, Ohio 44115 I-1113	
		CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8
deposited	d on the below	correspondence (along with any paper referenced as being attached or enclosed) is being date with the United States Postal Service with sufficient postage as first class mail in an Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Date:	1/10/03	Warren A. Sklar

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Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO		Complete if Known			
		Application Number	10/796,259		
IN	FORMATION DISCLOSURE	Filing Date	March 9, 2004	-	
		First Named Inventor	James L. Fergason		
S	TATEMENT BY APPLICANT	Art Unit	2851		
(Use as many sheets as necessary)		Examiner Name	Unknown		
Sheet	1 of 1	Attorney Docket Number	OSVRP152USA		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (7 known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 3,428,743	02-18-69	Hanlon	
		<sup>US-</sup> 5,122,791	6-16-1992	Gibbons et al.	
		<sup>US-</sup> 5,305,146	04-19-1994	Nakagaki et al.	
		<sup>US-</sup> 5,359,345	10-25-1994	Hunter	
		<sup>US-</sup> 5,717,422	02-10-1998	Fergason	
		<sup>US-</sup> 5,764,287	06-09-1998	Tatsumi	
-		<sup>US-</sup> 6,184,969	02-06-2001	Fergason	
		<sup>US-</sup> 6,233,389 B1	05-15-2001	Barton et al.	
		<sup>US-</sup> 6,519,410 B1	02-11-2003	Okada et al.	
		<sup>US-</sup> 6,519,722 B1	02-11-2003	Bopardikar	
		<sup>US-</sup> 6,816,141	11-09-2004	Fergason	
		US-			

		FORE	IGN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				T <sup>6</sup>
		WO 94/10675	05-11-1994	Kavanagh et al.		
		EP 0 606 162 A2	07-13-1994	Shirochi et al		
		JP 01098383	04-17-1989	Shigeki		
						L
	]					

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.